DT14 Rec'd PCT/PTO 0 4 OCT 2004

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES  ATTTORNEY'S DOCKET NUMBER  A158 US  A158 US							
	DESIGNATED/ELECTED OFFICE (DO/EO/US) NCERNING A SUBMISSION UNDER 35 U.S.C. 371						
INTERNA	TIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 04 April 2002						
	US03/10440   04 April 2003   04 April 2002						
Tri-Substituted Heteroaryls and Methods of Making and Using the Same APPLICANT(S) FOR DO/EO/US							
Lee, et al.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. [X]	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2. 🔲	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.	The US has been elected (Article 31).						
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
	a. X is attached hereto (required only if not communicated by the International Bureau).						
	b.  has been communicated by the International Bureau.						
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. is attached hereto.						
·	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a are attached hereto (required only if not communicated by the International Bureau).						
	b. have been communicated by the International Bureau.						
	c. have not been made; however, the time limit for making such amendments has NOT expired.						
_	d. have not been made and will not be made.						
8. 📙	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. 📙	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items	s 11 to 20 below concern document(s) or information included:						
11. 🔲	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13.	A preliminary amendment.						
14.	An Application Data Sheet under 37 CFR 1.76.						
15.	A substitute specification.						
16. 🔲	A power of attorney and/or change of address letter.						
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19.	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).						
20. 🔲	Other items or information:						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 07-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION N	O. (if known, see 37 CER 14	ATTORNEY'S DOCKET NUMBER					
10	J.S. APPLICATION NO. (if known, see 37 CER 15) INTERNATIONAL APPLICATION NO. PCT/US03/10440			A158 US			
21. X The following	g fees are submitted:	CALCULATIONS	PTO USE ONLY				
	E (CFR 1.492(a)(1)–(5)):						
nor international search	reliminary examination fee (37 h fee (37 CFR 1.445(a)(2)) pa ch Report not prepared by the						
	ry examination fee (37 CFR 1 al Search Report prepared by						
International preliminar but international search	ry examination fee (37 CFR 1 n fee (37 CFR 1.445(a)(2)) pa						
International preliminar but all claims did not sa	ry examination fee (37 CFR 1 atisfy provisions of PCT Articl						
and all claims satisfied	ry examination fee (37 CFR 1 provisions of PCT Article 33( ENTER APPROPRIAT	\$ 790.00					
Surcharge of \$130.00 from the earliest claims	for furnishing the oath or decled priority date (37 CFR 1.492	\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total claims	29 -20=	29	X \$18.00	\$ 522.00			
Independent claims	1 - 3 =	0	X \$86.00	\$			
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)		+ \$898.30	\$ 300.00			
Applicant claims		TOTAL OF ABOVE CA R 1.27. The fees indicated at		\$ 1612.00 \$			
by ½.	small entity status. See 37 Cr						
			SUBTOTAL =	\$ 1612.00			
Processing fee of \$130 claimed priority date (3	<ul><li>0.00 for furnishing the English</li><li>7 CFR 1.492(f)).</li></ul>	\$					
		\$ 1612.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							
		\$1612.00	1				
		Amount to be refunded:	\$				
		Amount to be charged:	\$				
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. <u>02-232</u> in the amount of \$ <u>1612.0</u>							
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. Ω 2 – 2 3 2 7A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESP							
		and In					
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	ge, MA 02142	137					
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## IN THE UNITED STATES RECEIVING OFFICE

In re application of:

Lee, et al.

U.S. Serial No.:

Not yet assigned

U.S. Filing date:

Herewith

Continuation of

Serial No.:

PCT/US03/10440

Continuation-in-part of

prior application:

60/369,793

International Filing Date:

PCT/US97/07870

4 April 2003 (04.04.2003)

For:

TRI-SUBSTITUTED HETEROARYLS AND METHODS

OF MAKING AND USING THE SAME

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence, together with all items referred to herein, is being deposited with the United States Post Office as Express Mail Label No. EV341101948US in an Express Mail Envelope addressed to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below.

Uctober 9,2009

Patricia Hofstetter

Preliminary Amendment

Sir:

Please amend specification as follows: